

Proposal:

Step 1

Proposal Information

Business

Point of Contact

Principal Investigator

Subcontractor/Consultant

Project Summary

Offeror Certification

Step 2

Review & Submit

Proposal Information

*Topic Responding To:

*Proposal Title:

*Total Hours:

Indicate the total number of hours that employees of this company (excluding subcontractors or consultants) will work on this project, according to your proposal

*Amount Requested:

May be up to \$150, 000 unless otherwise indicated in Section IX of Solicitation

*Proposed Duration

(In months - not to exceed 6)

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Review & Submit

Company Information

*Company Name:

Company URL:

*Address Line 1:

Address Line 2:

*City:

*State:

*Postal Code:

<https://tools.usps.com/go/ZipLookupAction!input.action>

*Telephone:

Please use this format: xxx-xxx-xxxx

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*Number of Employees:

(including all affiliates)

*DUNS + 4:

(<http://www.dnb.com/get-a-duns-number.html>)

*TIN/EIN:

CAGE Code:

CAGE codes are provided during the System for Awards (SAM) registration process

(<https://www.sam.gov/portal/SAM/##11>)

*Company Registered at [SBIR.gov](https://www.sbir.gov):

Yes

No

SBC Control ID:

Representation and Certificates

*System for Award Management (SAM) Valid Until:

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Representation and Certificates

*System for Award Management (SAM) Valid Until:

*If SAM validation is pending, please enter today's date and select "Yes" below:

Yes, my SAM Validation is pending

*If selected for an award under the 16.1 Phase I Solicitation, is your firm interested in participating in the U.S. DOT's Commercialization Assistance Program? The program provides assistance to Phase I awardees in the area of commercialization planning and strategy (see Section III (C)(3) of the Solicitation). Firms selected for award can choose to receive assistance from (1) U.S. DOT's pre-approved vendor, Dawnbreaker, or (2) their own proposed subcontractor (and provide additional documentation for verification as determined by U.S. DOT's Acquisitions Office). Please choose one of the following:

Yes, I am interested in the Technical Assistance Program and plan to use U.S. DOT's approved vendor

Yes, I am interested in the Technical Assistance Program and plan to propose to use a vendor of my choosing and will submit documentation as requested by U.S. DOT if selected for award

No, I am not interested in the Technical Assistance Program

I am undecided at this time

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Principal Investigator Information

☐ Same as POC?

*PI First Name:

PI Middle Name:

*PI Last Name:

*Telephone:

Please use this format: xxx-xxx-xxxx

*Email:

*Retype Email:

(for verification)

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Subcontractor/Consultant Information

Please list all of the subcontractors or consultants that you have identified as working on this project in your proposal. If no subcontractor or consultant leave blank.

Indicate the total number of hours that subcontractors or consultants will work on this project, according to your proposal.

Subcontractor/Consultant Name:

of Labor Hours for project:

Subcontractor/Consultant Name:

of Labor Hours for project:

Subcontractor/Consultant Name:

of Labor Hours for project:

NOTE: Please remember that for Phase I proposals, a minimum of 2/3 of the research or analytical effort, measured in labor hours, must be performed by the small business submitting the proposal. Only 1/3 of the effort, measured in labor hours, may be performed by subcontractors and consultants

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Review & Submit

Project Summary Information

*Abstract:

(Cut and Paste From Appendix B of your Completed Proposal) (Limited to two hundred words in this space only. The Project Summary of successful proposals may be published by the U.S. DOT and, therefore, shall not contain classified or proprietary information)

Anticipated Results/Potential Commercial Applications of Results:

(Cut and Paste From Appendix B of your Completed Proposal)

Keywords:

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Offeror Certification Information

As defined in the current Solicitation, the offeror certifies

*The Principal Investigator proposed in your proposal is primarily employed by your firm/company at the time of award and during the conduct of research.

Yes

No

*Is a Small Business Concern (SBC) and meets the definition stated in Section I.E of the solicitation; and that it meets the eligibility requirements in I.C of the solicitation.

Yes

No

Socially and economically disadvantaged SBC:

Yes

No

*Woman-owned SBC:

Yes

No

*HUBZone SBC certified by SBA (<https://www.sba.gov/hubzone/>):

Yes

No

Student/Faculty Owned SBC:

Yes

No

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Student/Faculty Owned SBC:

Yes

No

*Minority Owned SBC:

Yes

No

*Will you permit the Government to disclose the title and technical abstract page of your proposed project, plus the name, address, and telephone number of the corporate official of your concern, if your proposal does not result in an award, to the appropriate local and State-level economic development organizations that may be interested in contacting you for further information?

Yes

No

*Has this proposal been submitted to other US Government agencies or their components?

Yes

No

(If yes, list the name(s) of the agency or component and topic number in the space provided below.)

*Agency:

*Topic Number:

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Is the Phase I project Manufacturing-Related or is the resultant Phase II project Manufacturing-Related?

Meaning relating to: (i) manufacturing processes, equipment and systems; or (ii) manufacturing workforce skills and protection as defined in Executive Order 13329.

Yes

No

Is the Phase I project or Phase II resultant project related to Energy Efficiency or Renewable Energy?

As defined in the Energy Independence and Security Act of 2007 (Act) P.L. 110-140

Yes

No

Has your company received Federal & State Technology Partnership Program (FAST) Assistance?

Yes

No

*Has any individual in your company or your company been convicted of a fraud-related crime involving funding received under the SBIR program or STTR program?

Yes

No

*Has any individual in your company or your company been found civilly liable for a fraud-related violation involving funding received under the SBIR program or STTR program?

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Yes

No

Has your company received Federal & State Technology Partnership Program (FAST) Assistance?

Yes

No

*Has any individual in your company or your company been convicted of a fraud-related crime involving funding received under the SBIR program or STTR program?

Yes

No

*Has any individual in your company or your company been found civilly liable for a fraud-related violation involving funding received under the SBIR program or STTR program?

Yes

No

*Is your company majority-owned by multiple venture capital operating companies, hedge funds, or private equity firms? (Please note that the USDOT's SBIR Program will not accept proposals from or make awards to small business concerns that are owned by such entities. Small business concerns with such ownership are ineligible to submit proposals under this solicitation.

Yes

No

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Proposal Documents

Review & Submit

Choose Your Proposal Documents

Use the "Browse" button to choose your Proposal document to be uploaded:

NOTE: ** All 3 files REQUIRED; ONLY Adobe and Excel files will be accepted! The proposal file names shall contain eight (8) characters; the first three shall be the topic number the proposal is associated with (i.e., FH3), and the remaining five characters shall be a unique abbreviation of the company's name.

All three files combined must be less than 20 MB

*Technical Proposal (PDF only)

Choose File

No file chosen

*Cost Proposal (Appendix C) (Excel or PDF)

Choose File

No file chosen

*Appendices A, B, and D (PDF only)

Choose File

No file chosen

*Note: Appendix D not required. Submit only Appendices A and B.

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